

Docket No.: 071971-0361



PATENT

*IW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 53080  
Junko IWANAGA, et al. : Confirmation Number: 8090  
Application No.: 10/549,291 : Group Art Unit: 2815  
Filed: September 16, 2005 : Examiner: John Lin  
For: FINFET-TYPE SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING  
THE SAME

**THIRD REQUEST FOR CORRECTED FILING RECEIPT**

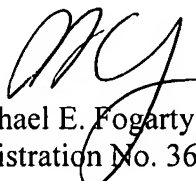
Mail Stop OFR  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that **the filing date is incorrect**. Attached is a copy of the return receipt postcards, which evidences that **the correct filing date is September 16, 2005**. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

  
Michael E. Fogarty  
Registration No. 36,139

600 13<sup>th</sup> Street, N.W.  
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Phone: 202.756.8000 MEF:ksa  
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**Date: December 5, 2007**

**Please recognize our Customer No. 53080  
as our correspondence address.**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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APPL NO.	FILING OR 371 (*) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,291	09/14/2005	2811	900	071971-0361	21	11	3

CONFIRMATION NO. 8090

## FILING RECEIPT



\*OC000000019206712\*

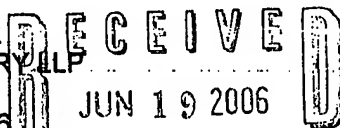
53080

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600 13TH STREET, NW

WASHINGTON, DC 20005-3096

McDermott, Will & Emery  
DC Office

Date Mailed: 06/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Junko Iwanaga, Osaka, JAPAN;  
Takeshi Takagi, Kyoto, JAPAN;  
Yoshihiko Kanzawa, Kyoto, JAPAN;  
Haruyuki Sorada, Osaka, JAPAN;  
Tohru Saitoh, Osaka, JAPAN;  
Takahiro Kawashima, Osaka, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number **53080**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/03808 03/19/2004

## Foreign Applications

JAPAN 2003-078002 03/20/2003

If Required, Foreign Filing License Granted: 06/09/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/549,291**

Projected Publication Date: 09/21/2006

Non-Publication Request: No

Applicant: Junko IWANAGA, et al. Docket No. 071971-0361 Serial No. Not yet assigned

Title: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME Patent No. \_\_\_\_\_

Date Sent: 9/16/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Express Mail No. \_\_\_\_\_

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: \_\_\_\_\_ ☐ Letter submitting \_\_\_\_\_ pages of drawings

25 pages of Specification ☐ Req. for Approval of Drawing Amendments

5 pages of Claims ☐ Req. for Oral Hearing

1 pages of Abstract ☐ Not. of Appeal ☐ Appeal Brief ☐ Rep. of

21 pages of Formal/Informal Drawings ☐ Rule 312 Amendment/Letter

☐ Small Entity ☒ Large Entity ☐ Req. for Acknowledgement of Cited Art

☒ Declaration/Power of Attorney ☐ Issue Fee

☐ Recordation of Assignment/Security Agreement ☐ Publication Fee

☒ Information Disclosure Statement ☐ Req. for Certificate of Correction

1 Form PTO 1449 ☐ Maintenance Fee for \_\_\_\_\_ years after grant

--- copies of cited references ☒ PCT Request

☒ Preliminary Amendment ☒ Form PCT/IPEA/401, 419

☐ Response to Missing Parts Notice ☒ Front page of the published International Application

☐ Resp. to Notice to Correct App. Papers ☒ Form PCT/ISA/210, 220, 237

☐ Certified Copy of Priority Doc. ☒ Other Forms PCT/IB/301, 304, 306, 308, 311, 332, 346

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of \_\_\_\_\_

☐ Request for \_\_\_\_\_ month Extension of Time

Check for \$ \_\_\_\_\_ ☒ Charge Deposit Acct. 500417 \$ 900 Atty Init. MEF Tkpr. # 3328 Secy. or PL: AHumphries

CMS Descrip.: 1-300 31-400 32-200 Early Serial Number

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Junko IWANAGA, et al.

Application No.: 10/549,291

Filed: September 16, 2005

Customer Number: 53080

Confirmation Number: 8090

Group Art Unit: 2811

Examiner: Not yet assigned

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

SECOND REQUEST FOR CORRECTED FILING RECEIPT

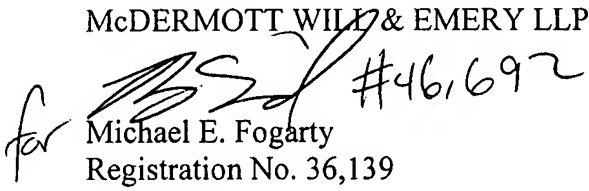
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Honorable Commissioner for Patents  
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Alexandria, VA 22313-1450

Sir:

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Respectfully submitted,

McDERMOTT WILL &amp; EMERY LLP

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Michael E. Fogarty  
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Date: March 20, 2007

**Please recognize our Customer No. 53080  
as our correspondence address.**



Applicant: <u>Junho IMANAGA, et al.</u>		Docket No. <u>071971-0361</u>		Serial No. <u>10/549294</u>		
Title: <u>SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME</u>						
Date Sent: <u>9/16/2005</u>	<input checked="" type="checkbox"/> Hand Carried	<input type="checkbox"/> Fax	<input type="checkbox"/> Electronic	<input type="checkbox"/> Cert. of Mailing	<input type="checkbox"/> 1st Class Mail	
<input checked="" type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Utility	<input type="checkbox"/> Design	<input type="checkbox"/> Cont.	<input type="checkbox"/> CIP	<input type="checkbox"/> Div.	
<input type="checkbox"/> New Patent App.	<input type="checkbox"/> Other:	<input checked="" type="checkbox"/> PCT <input type="checkbox"/> RCE <input type="checkbox"/> Prov				
<u>25</u>	pages of Specification	<input type="checkbox"/> Letter submitting <u>      </u> pages of drawings				
<u>5</u>	pages of Claims	<input type="checkbox"/> Req. for Approval of Drawing Amendments				
<u>1</u>	pages of Abstract	<input type="checkbox"/> Req. for Oral Hearing				
<u>21</u>	pages of Formal/Informal Drawings	<input type="checkbox"/> Not. of Appeal <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief				
<input checked="" type="checkbox"/> Small Entity	<input checked="" type="checkbox"/> Large Entity	<input type="checkbox"/> Rule 312 Amendment/Letter				
<input checked="" type="checkbox"/> Declaration/Power of Attorney	<input type="checkbox"/> Req. for Acknowledgement of Cited Art					
<input type="checkbox"/> Recordation of Assignment/Security Agreement	<input type="checkbox"/> Issue Fee					
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Publication Fee					
<u>1</u>	Form PTO 1449	<input type="checkbox"/> Req. for Certificate of Correction				
copies of cited references		<input type="checkbox"/> Maintenance Fee for <u>      </u> years after grant				
<input checked="" type="checkbox"/> Preliminary Amendment	<input checked="" type="checkbox"/> PCT Request					
<input type="checkbox"/> Response to Missing Parts Notice	<input checked="" type="checkbox"/> Form PCT/PEA/401, 419					
<input type="checkbox"/> Resp. to Notice to Correct App. Papers	<input checked="" type="checkbox"/> Front page of the published International Application					
<input type="checkbox"/> Certified Copy of Priority Doc.	<input checked="" type="checkbox"/> Form PCT/ISA/210, 220, 237					
<input type="checkbox"/> Claim for Convention Priority	<input checked="" type="checkbox"/> Other Forms PCT/IB/A/201 304 306 308 311 332 346					
<input type="checkbox"/> Response/Amendment to Office Action of						
<input type="checkbox"/> Request for <u>      </u> month Extension of Time						
Check for \$ <u>      </u>	<input checked="" type="checkbox"/> Charge Deposit Act. 500417	\$ <u>900</u>	Atty Init.	MIF	Tkgr. # <u>3328</u>	
CMS Descrp.: <u>1-300</u>	<u>31-400</u>	<u>32-200</u>	Early Serial Number			
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS CHECKED ABOVE WERE RECEIVED BY THE PTO ON THE DATE STAMPED.						

JC03 Rec'd PCT/PTO 16 SEP 2005